

5101:3-1-17.3 **Provider disclosure requirements.**

(A) Providers, ~~other than individual practitioners or groups of practitioners,~~ shall disclose to the Ohio department of job and ~~family~~ family services (ODJFS) or its designee full and complete information concerning the name and address of each person:

(1) Who, with respect to the provider:

(a) Is an officer or director;

(b) Is a partner;

(c) Has a direct or indirect ownership interest totaling five per cent or more;
or

(d) Has an interest of five per cent or more in any mortgage, deed of trust, note, or other obligation secured by the provider if that interest equals at least five per cent of the value of the property or assets of the provider.

(2) Who, with respect to any subcontractor in which the provider has a direct or indirect ownership or control interest of five per cent or more:

(a) Is an officer or director;

(b) Is a partner;

(c) Has a direct or indirect ownership interest totaling five per cent or more;
or

(d) Has an interest or five per cent or more in any mortgage, deed of trust, note, or other obligation secured by the provider if that interest equals at ~~leave~~ least five per cent of the value of the property or assets of the provider.

(3) Who has been convicted or indicted of a criminal offense related to ~~his~~ involvement in any program operated under Title XVIII, XIX, or XX of the Social Security Act and who has an ownership or control interest in the provider, or is an agent or managing employee (i.e., an individual, including a general manager, business manager, administrator, director, or other individual who exercises operational or managerial control or who directly or indirectly conducts the day-to-day operations).

- (B) Providers, ~~other than individual practitioners or groups of practitioners,~~ shall supply ODJFS, or its designee, within thirty-five days of the date of a specific request, full and complete information concerning:
- (1) The ownership of any subcontractor with which the provider has had, during the twelve months prior to the date of the request, business transactions in an aggregate amount of twenty-five thousand dollars; and
 - (2) Any significant business transactions occurring during the five-year period ending on the date of such request, between the facility and any wholly owned supplier or any subcontractor.
- (C) Disclose whether any of the persons named, in compliance with paragraphs (A)(2) and (A)(3) of this rule, are related to another as spouse, parent, child, or sibling.
- (D) Disclose the name of any other disclosing entity in which a person with an ownership or control interest in the provider also has an ownership or control interest.
- (1) This requirement applies to the extent that the provider can obtain this information by requesting it in writing from the person.
 - (2) The provider must:
 - (a) Keep copies of all these requests and the responses to them;
 - (b) Make them available to the secretary of health and human services or ODJFS upon request; and
 - (c) Advise the secretary of health and human services or ODJFS when there is no response to a request.
- (E) Providers shall disclose their national provider identifier (NPI) by supplying ODJFS a copy of the national plan and provider enumeration system (NPPES) NPI notification received. Upon receipt of written notification from NPPES, a provider shall report any additions, change in ownership, or change in the assignment of the provider's NPI to ODJFS.

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CERTIFIED ELECTRONICALLY

Certification

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Date

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